SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Wertheimer Samuel P		iring Statement th/Day/Year) Brookline Capital Acquisition Corp. [BCACU]					
(Last) (First) (Middle) 600 LEXINGTON AVENUE , 33RD			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)	
FLOOR (Street) NEW YORK, NY 10022			X Director X Officer (give title below) Chief Executiv	below	(specify	A Person	e Line) by One Reporting by More than One
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
Ta	ble I - Non	-Derivativ	ve Securities Benefic	cially O	wned		
Ta 1. Title of Security (Instr. 4)	ble I - Non	2 E	ve Securities Benefic 2. Amount of Securities 3eneficially Owned (Instr. 4)	3. Own Form: I (D) or I (I) (Inst	ership 4. Direct O ndirect	Nature of Indire wnership (Instr.	
1. Title of Security (Instr. 4)	Table II - D	erivative	2. Amount of Securities Beneficially Owned (Instr.	3. Own Form: I (D) or I (I) (Inst	ership 4. Direct 0 ndirect r. 5)		
1. Title of Security (Instr. 4)	Table II - D	erivative s, warrar	2. Amount of Securities Beneficially Owned (Instr. I) Securities Beneficia	3. Own Form: I (D) or II (I) (Inst ible sec ecurities	ership 4. Direct 0 ndirect r. 5)	5. Ownership	

Explanation of Responses:

Remarks:

The reporting person has an indirect pecuniary interest in shares of common stock of the registrant through his membership interest in Brookline Capital Holdings LLC (the "Sponsor"), over which the reporting person does not have voting or dispositive control.

No securities are beneficially owned.

/s/ Samuel P. Wertheimer 01/28/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.